



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Yoshiaki TANAKA et al.

Serial No. 10/766,881

Art Unit: 2627

Filed: January 20, 2004

Examiner: Psitos, Aristotelis M

For: RECORDING MEDIUM AND  
SIGNAL PROCESSING  
APPARATUS

Atty Docket: 0102/0239

**INFORMATION DISCLOSURE STATEMENT**  
**FILED PURSUANT TO 37 CFR 1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

For insuring compliance with the applicant's duty of disclosure under 37 CFR §1.56, the undersigned hereby resubmits the attached form PTO-1449 listing references for consideration by the Examiner in charge of the above-identified patent application. The examiner refused to consider these references in the earlier submission because copies of the cited references were not attached. All foreign patent references listed are attached herewith for the examiner's consideration. The examiner however is respectfully requested to obtain copies of the three publications from parent application 10/195,100 (now U.S. patent No. 6,738,561).

These documents are being submitted

- ☐ within three months of the filing of the above U.S. national application or of the date of entry of the U.S. national stage in an International Patent Application (no fee is due); (37 CFR §1.97(b))
- ☐ before receiving a first Office Action on the merits of the above-identified patent application (no fee is due); (37 CFR §1.97(b))

[XX] following receipt of a first Office Action, but before issuance of a Final Office Action or a Notice of Allowance (if this box is checked, one of the last three boxes also must be checked); (37 CFR §1.97(c))

[] concurrently with the filing of the RCE pursuant to 37 CFR 1.114

OR

[] following receipt of a Notice of Allowance or a Final Office Action. The undersigned hereby requests consideration of this Information Disclosure Statement after the mailing the Final Office Action or Notice of Allowance by filing this Information Disclosure Statement under 37 CFR §1.97(d)

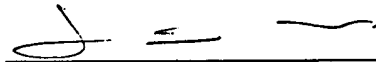
[XX] The Commissioner is authorized to debit \$180.00 for payment of the fee set forth in 37 CFR § 1.17(p). (37 CFR §1.97(d)(2))

[] The undersigned certifies that each item of this information is being submitted within three months of the date it was cited by the \_\_\_\_\_ Patent Office in a counterpart application. (37 CFR §1.97(e)(1))

[] The undersigned certifies that, after making reasonable inquiry, he/she has no knowledge that any item of this information was cited by a foreign patent office in a counterpart application or was known more than three months prior to this submission. (37 CFR §1.97(e)(2))

The Commissioner is hereby authorized to charge payment of any deficiency in the above fee(s) or to charge any additional fees required under 37 CFR § 1.16 or 1.17 or credit any overpayment to Deposit Account No. 50-0501. A duplicate copy of this authorization is attached for the Finance Branch.

Respectfully submitted,



Louis Woo, Reg. No. 31,730  
Law Offices of Louis Woo  
717 North Fayette Street  
Alexandria, Virginia 22314  
Phone: (703) 299-4090

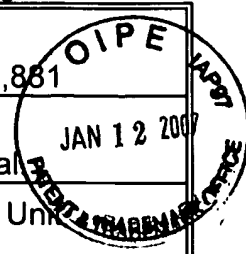
Date: Jan 12, 2007

FORM PTO-1449

**INFORMATION DISCLOSURE CITATION**Atty Docket  
0102/0239Serial No.  
10/766,881Applicant  
Yoshiaki TANAKA et al

Filing Date

Group Art Unit

**U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Sub-Class	Translation
	AL	EP 0892404	01/20/99	EPC			
	AM	EP 0856849	08/05/98	EPC			
	AN	WO 9715924	05/01/97	PCT			
	AO	EP 0867877	09/30/98	EPC			
	AP	EP 0910082	04/21/99	EPC			
	AR	EP 0797197	09/24/97	EPC			

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

	AS		"Outline of DVD Standard" by M. Moriya et al; National Technical Report, Vol. 43, No. 3, June 1997; pp. 223-229
	AT		"DVD-Audio Format" by E. Funasaka; An Audio Engineering Society Preprint, September 1997; pp. 1-8
	AU		Von Logneysen, Ulrich: "DVD-Audio: PCM GEGEN BITSTREAM-RECORDING" Funkschau, Franzis-Verlag K.D. Munchen, DE, No. 6, 28 February 1997, pp. 56-59, XP000688422 ISSN: 0016-2841

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609.

Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to Applicant.

FORM PTO-1449

**INFORMATION DISCLOSURE CITATION**Atty Docket  
0102/0239Serial No.  
10/766,881Applicant  
Yoshiaki TANAKA et al.

Filing Date

Group Art Unit

**U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Sub-Class	Translation
	AL	EP 0855715	07/29/98	EPC			
	AM	EP 0795870	09/17/97	EPC			
	AN	JP 09120645	05/06/97	Japan			
	AO	JP 09120647	05/06/97	Japan			
	AP	EP 0714098	05/29/95	EPC			
	AR	EP 0677848	10/18/95	EPC			
	AS	EP 0817195	01/07/98	EPC			

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

	AT		
	AU		

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609.

Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to Applicant.